

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

BANNAN ET AL.

Serial No.

09/335,581

Group Art Unit: TBA

Filed

June 18, 1999

Examiner: TBA

For

PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC

SHOCK SYNDROME AND SEPTIC SHOCK

REQUEST FOR REFUND UNDER 37 CFR § 1.26

Assistant Commissioner for Patents Washington, D.C. 20231

Attention:

Refund Section, Accounting

Division, Office of Finance

Sir:

Accompanying this letter are the following executed documents: VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR § 1.9 (f) and § 1.27(d)) – NONPROFIT ORGANIZATION and VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. §1.9(f) AND §1.27(c)) – SMALL BUSINESS CONCERN. It is requested that Applicants be accorded Small Entity Status for the payment of all fees herein.

In view of the establishment of the Small Entity Status, Applicants a so specified in the applicant are specified in the application:

Adjustment date: 08/01/2001 JMASON 06/28/1999 PSTANBAC 00000051 09335581 178/86-1101 -760.0

03 FC:102

-540.00 OP -780.00 OP 01 FC:201

Filing Fee, in the amount of \$2,080.00 (\$2,808.00 charged on Amendment Fee

Transmittal minus credit of \$728.00 by PTO); (Small Entity fee at 50% = \$1,040.00);

TOTAL REFUND DUE = \$1,040.00.

In accordance with 37 CFR § 1.28(a), this request is being made within two (2) months of the June 18, 1999 date of filing the application.

The Commissioner is hereby authorized to credit the overpayment in this paper to Deposit Account No. 13-4500, Order No. 2016-4010US2. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: July 20, 1999

Mailing Address

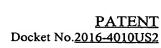
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue

New York, New York 10154
(212) 758-4800 telephone
(212) 751-6849 facsimile

Bv

y: _____

Registration No. 34,398



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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BANNAN et al.

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CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

I hereby certify that the attached

1. Transmittal Letter (2 copies)

2. Request for Refund Under 37 C.F.R. § 1.26 (2 copies)

- 3. Executed Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. §1.9(f) and §1.27(d)) Nonprofit Organization
- 4. Executed Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. §1.9(f) and §1.27(c)) Small Business Concern
- 5. RETURN RECEIPT POSTCARD

paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 20, 1999

Mary J. Morry

Registration No. 34,398

CORRESPONDENCE ADDRESS:

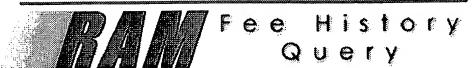
MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154

(212) 758-4800 (212) 751-6849 Facsimile

FORM: CERTMAIL.NY

Rev. 11/13/97

479373_1



Revenue Accounting and Management

Name/Number: 09335581

Start Date: Any Date

Total Records Found: 11

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method	
06/28/1999	00000092	1	<u>101</u>	\$760.00	06/18/1999	OP	380
06/28/1999	00000093	<u>1</u>	<u>103</u>	\$540.00	06/18/1999	OP	270
06/28/1999	00000094	<u>1</u>	<u>102</u>	\$780.00	06/18/1999	· OP	390
06/28/1999	00000095	<u>4</u>	<u>704</u>	-\$728.00	06/28/1999	OP	
07/20/1999	00000135	<u>1</u>	<u>581</u>	\$40.00	07/19/1999	OP	
08/18/1999	00000133	<u>1</u>	<u>105</u>	\$65.00	08/16/1999	DA 13	34500
08/18/1999	00000134	<u>1</u>	<u>105</u>	\$65.00	08/16/1999	OP	
10/23/2000	00000005	<u>1</u>	<u>122</u>	\$130.00	10/16/2000	DA 13	34500
07/10/2001	00000125	<u>1</u> .	<u>217</u>	\$445.00	07/06/2001	OP	
07/10/2001	00000126	<u>1</u>	<u>202</u>	\$80.00	07/06/2001	OP	
07/10/2001	00000127	. 1	<u>126</u>	\$180.00	07/06/2001	OP	